



IPW  
Docket No.: H0630-7003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant: James F. Rieke and Timothy J. Rittof  
Serial No: 10/619,280  
Confirmation No.: 7461  
Filed: July 14, 2003  
For: SYSTEM AND METHOD OF PROCESSING  
MIXED-PHASE STREAMS

Examiner: Not Yet Assigned  
Art Unit: 3743

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 11<sup>th</sup> day of May, 2004.

  
\_\_\_\_\_  
Jeanne W. Chub

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement, PTO-1449 and One (1) Cited Reference
- [X] Copy of International Search Report for PCT International Application  
No. PCT/US03/22412
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

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A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/0214. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*James F. Rieke & Timothy J. Rittorf, Applicants*

By: 

Peter C. Lando, Reg. No. 34,654  
Elias Domingo, Reg. No. 52,827  
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Date: May 11, 2004



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Jeanne W. Chub

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

**PART III: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application:

International Search Report for PCT International Application PCT/US03/22412 which corresponds to this application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*James F. Rieke & Timothy J. Rittorf, Applicants*

By: 

Peter C. Lando, Reg. No. 34,654  
Elias Domingo, Reg. No. 52,827  
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Docket No. H0630-7003

Date: May 11, 2004

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FORM PTO-1449/A and B (Modified)

APPLICATION NO.: 10/619,280

ATTY. DOCKET NO.: H0630-7003

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

FILING DATE: 07-14-2003

CONFIRMATION NO.: 7461

APPLICANT: James F. Rieke and Timothy J. Rittorf

GROUP ART UNIT: 3743

EXAMINER: Not Yet Assigned

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited<br>Document | Date of Publication or of issue<br>of Cited Document<br>MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|--|--|
|                        |             | Number               | Kind<br>Code |  |  |
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**FOREIGN PATENT DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | Foreign Patent Document |          |              | Name of Patentee or Applicant of Cited<br>Document<br>(not necessary) | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Translation<br>(Y/N) |
|------------------------|-------------|-------------------------|----------|--------------|---|---|----------------------|
|                        |             | Office/<br>Country      | Number   | Kind<br>Code |   |   |                      |
|                        |             | GB                      | 424236 A |              | Ronald Mervyn Norton  | 02-18-1935  |                      |
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**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

| Examiner's<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),<br>publisher, city and/or country where published. | Translation<br>(Y/N) |  |
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EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]